

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 1-438

First Inventor or Application Identifier

TANAKA

Title VOICE CONTROL SYSTEM

Express Mail Label No.

P
0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

0

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages 16]

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description of the Preferred Embodiment
- Claims
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]

4. Oath or Declaration [Total Sheets 3]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63 (d))
(for continuation/divisional with Box 16 completed)
- i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) Power of Attorney

9. English Translation Document (if applicable)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
*Small Entity Statement(s)
(PTO/SB/09-12) Statement filed in prior application,
Status still proper and desired

13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. Other:

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

| | | | | |
|---|---|----------------|-----|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | | <input type="checkbox"/> Correspondence address below |
| Name | 23400 | | | |
| PATENT TRADEMARK OFFICE | | | | |
| Address | | | | |
| City | State | Zip Code | | |
| Country | Telephone | (703) 707-9110 | Fax | (703) 707-9112 |

| | | | | |
|-------------------|---|--|-----------------------------------|---------------|
| Name (Print/type) | Kerry S. Culpepper | | Registration No. (Attorney/Agent) | 45,672 |
| Signature |  | | Date | June 25, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Arlington, VA 22202. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 790)

Complete if Known

Application Number

June 25, 2003

Filing Date

First Named Inventor

TANAKA

Examiner Name

Group/Art Unit

Attorney Docket No.

1-438

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-1147

Deposit Account Name

POSZ & BETHARDS, PLC

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--------------------------------|----------|
| 1001 | 750 | 2001 375 Utility filing fee | 750 |
| 1002 | 330 | 2002 165 Design filing fee | |
| 1003 | 520 | 2003 260 Plant filing fee | |
| 1004 | 750 | 2004 375 Reissue filing fee | |
| 1005 | 160 | 2005 80 Provisional filing fee | |
| SUBTOTAL (1) | | (\$ 750) | |

2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims | Fee from Below | Fee Paid |
|--------------|--------------------|--------------|----------------|----------|
| 8 | -20**= | 0 | x 18 | = 0 |
| 2 | -3**= | 0 | x 84 | = 0 |

Multiple Dependent

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 | 18 | 2202 9 Claims in excess of 20 |
| 1201 | 84 | 2201 42 Independent claims in excess of 3 |
| 1203 | 280 | 2203 140 Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 42 **Reissue independent claims over original patent |
| 1205 | 18 | 2205 9 **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 0) |

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----------------------------------|----------------------------|--|----------|
| 1051 | 130 | 2051 65 Surcharge – late filing fee or oath | |
| 1052 | 50 | 2052 25 Surcharge – late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 130 Non-English specification | |
| 1812 | 2,520 | 1812 2,520 For filing a request for reexamination | |
| 1804 | 920* | 1804 920* Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 1,840* Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 55 Extension for reply within first month | |
| 1252 | 410 | 2252 205 Extension for reply within second month | |
| 1253 | 930 | 2253 460 Extension for reply within third month | |
| 1254 | 1450 | 2254 725 Extension for reply within fourth month | |
| 1255 | 1970 | 2255 985 Extension for reply within fifth month | |
| 1401 | 320 | 2401 160 Notice of Appeal | |
| 1402 | 320 | 2402 160 Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 140 Request for oral hearing | |
| 1451 | 1,510 | 1451 1,510 Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 55 Petition to revive – unavoidable | |
| 1453 | 1,300 | 2453 650 Petition to revive – unintentional | |
| 1501 | 1300 | 2501 650 Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 235 Design issue fee | |
| 1503 | 630 | 2503 315 Plant issue fee | |
| 1460 | 130 | 1460 130 Petitions to the Commissioner | |
| 1807 | 50 | 1807 50 Petitions related to provisional applications | |
| 1806 | 180 | 1806 180 Submission of information Disclosure Stmt | |
| 8021 | 40 | 8021 40 Recording each patent assignment per property (times number of properties) | 40 |
| 1809 | 750 | 2809 375 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 375 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Other fee (specify) | | | |
| Other fee (specify) | | | |
| *Reduced by Basic Filing Fee Paid | | SUBTOTAL (3) | (\$ 40) |

| SUBMITTED BY | | Complete (if applicable) | | |
|---|-----------|-----------------------------------|----------------|---------------|
| Name (Print/Type) | Signature | Registration No. (Attorney/Agent) | Telephone | Date |
| Kerry S. Culpepper <i>Kerry S. Culpepper</i> | | 45,672 | (703) 707-9110 | June 25, 2003 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Arlington, VA 22202. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Alexandria 22231-1450.